02-03-03

TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number	10/001,494
Filing Date	October 31, 2001
First Named Inventor	Roberto A. Capodieci
Group Art Unit	Unassigned
Examiner Name	Unassigned
Attorney Docket Number	12598US03

ENCLOSURES (check all that apply)

- [X] Fee Transmittal Form
  - [X] Fee Attached
- [X] Preliminary Amendment
  - [ ] After Final
  - [ ] Affidavits/declaration(s)
- [ ] Extension of Time Request
- [ ] Express Abandonment Request
- [ ] Information Disclosure Statement
- [ ] Certified Copy of Priority Document(s)
- [ ] Response to Missing Parts/ Incomplete Application
  - [ ] Response to Missing Parts under 37 CFR 1.52 or 1.53

- [ ] Assignment Papers (for an Application)
- [ ] Drawing(s)
- [ ] Licensing-related Papers
- [ ] Petition
- [ ] Petition to Convert to a Provisional Application
- [ ] Power of Attorney, Revocation Change of Correspondence Address
- [ ] Terminal Disclaimer
- [ ] Request for Refund
- [ ] CD Number of CD(s) _____

- [ ] After Allowance Communication to Group
- [ ] Appeal Communication to Board of Appeals and Interferences
- [ ] Appeal Communication to Group (*Appeal Notice, Brief, Reply Brief*)
- [ ] Proprietary Information
- [ ] Status Letter
- [ ] Other Enclosure(s) (please identify below):
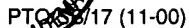
Remarks

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual Name	McAndrews Held & Malloy, Ltd.
Signature	Joseph M Barich
Date	January 31, 2003

EXPRESS MAIL MAILING NO. EL 848999225 US

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as Express Mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: January 31, 2003.

Name (Print/type)	Joseph M. Barich	Registration No. (Attorney/Agent)	42,291
Signature	Joseph M Barich	Date	January 31, 2003

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TC 1700

Approved for use through 10/31/2002. OMB 0651-0032
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FEE TRANSMITTAL
for FY 2003

Patent Fees are subject to annual revision.

Complete if Known	
Application Number	10/001,494
Filing Date	December 31, 2001
First Named Inventor	Robert A. Capodieci
Examiner Name	Unassigned
Group Art Unit	Unassigned

TOTAL AMOUNT OF PAYMENT	($)642.00	Attorney Docket No.	12598US03

METHOD OF PAYMENT

1. ☐ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number: **13-0017**

Deposit Account Name: **McAndrews Held & Malloy**

☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

☐ Applicant claims small entity status. See 37 CFR 1.27

2. ☒ Payment Enclosed:
   ☒ Check ☐ Credit Card ☐ Money Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Fee Code	Fee ($)	Small Entity Fee Code	Fee ($)	Fee Description	Fee Paid
1001	750	2001	375	Utility filing Fee	
1002	330	2002	165	Design filing Fee	
1003	520	2003	260	Plant filing fee	
1004	750	2004	375	Reissue filing fee	
1005	160	2005	80	Provisional filing fee	

SUBTOTAL (1) ($)

2. EXTRA CLAIM FEES

	Previously Paid	Extra Claims This Amendment	Fee
Total Claims	23 - 20** = 20 x	3 =	$54.00
Independent Claims	10 - 3** = 3 x	7 =	588.00
Multiple Dependent		=	

Large Entity Fee Code	Fee ($)	Small Entity Fee Code	Fee ($)	Fee Description
1202	18	2202	9	Claims in excess of 20
1201	84	2201	42	Independent claims in excess of 3
1203	280	2203	140	Multiple dependent claim, if not paid
1204	84	2204	42	**Reissue independent claims over original patent
1205	18	2205	9	**Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) ($)642.00

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Fee Code	Fee ($)	Small Entity Fee Code	Fee ($)	Fee Description	Fee Paid
1051	130	2051	65	Surcharge - late filing fee or oath	
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet	
1053	130	1053	130	Non-English specification	
1812	2,520	1812	2,520	For filing a request for ex parte reexamination	
1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
1251	110	2251	55	Extension for reply within first month	
1252	410	2252	205	Extension for reply within second month	
1253	930	2253	465	Extension for reply within third month	
1254	1,450	2254	725	Extension for reply within fourth month	
1255	1,970	2255	985	Extension for reply within fifth month	
1401	320	2401	160	Notice of Appeal	
1402	320	2402	160	Filing a brief in support of an appeal	
1403	280	2403	140	Request for oral hearing	
1451	1,510	1451	1510	Petition to institute a public use proceeding	
1452	110	2452	55	Petition to revive - unavoidable	
1453	1,300	2453	650	Petition to revive - unintentional	
1501	1,300	2501	650	Utility issue fee (or reissue)	
1502	470	2502	235	Design issue fee	
1503	610	2503	315	Plant issue fee	
1460	130	1460	130	Petitions to the Commissioner	
1807	50	1807	50	Processing fee under 37 CFR 1.17(q)	
1806	180	1806	180	Submission of Information Disclosure Stmt	
8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
1809	750	2809	375	Filing a submission after final rejection (37 CFR § 1.129(a))	
1810	750	2810	375	For each additional invention to be examined (37 CFR 1.129(b))	
1801	750	2801	375	Request for Continued Examination (RCE)	
1802	900	1802	900	Request for expedited examination of a design application	

Other fee (specify) ------------------------------------

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) ($)

RECEIVED FEB 11 2003 TC 1700

SUBMITTED BY			Complete (if applicable)
Name (Print/Type)	Joseph M. Barich	Registration No. (Attorney or Agent) 42,291	Telephone 312/775-8000
Signature	Joseph M Barich		Date January 31, 2003

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

UNITED STATES PATENT AND TRADEMARK OFFICE
(Attorney Docket No. 12598US03)

In the Application of:
Roberto A. Capodieci

Serial No. **10/001,494**

Filed: **October 31, 2001**

For: **Ultrasonically Activated Continuous Slitter Apparatus and Method**

Examiner: **Unassigned**

Group Art Unit: **1761**

EL 848999225 US	
EXPRESS MAIL-NO.	
January 31, 2003	
DATE	

PRELIMINARY AMENDMENT

Assistant Commissioner for Patents
Washington, D.C. 20231

Dear Examiner:

Prior to examination of the present application, please enter the following

Preliminary Amendment.